

Stabilities and Existence of Kähler-Einstein metrics

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§ 0 Introduction

§1 Yau's solution of Calabi conjecture

§2 Hitchin-Kobayashi-Donaldson-Uhlenbeck-Yau correspondence

§3 Mumford's GIT theory

§4 Stabilities on Polarized manifolds

Projective spaces

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- ▶ Griffiths conjecture: positive \iff ample.

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- (2) "Conjecture": $c_1 > 0 \implies \exists$ Kähler-Einstein metric or constant scalar curvature metric?
- ▶ No, there exists manifold with $c_1 > 0$ which admits no Kähler-Einstein metric.
 - ▶ There are some obstructions to the existence of Kähler-Einstein metrics, such as Calabi-Futaki invariants.

Some conjectures and theorems

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- ▶ The most important case is the manifolds with positive first Chern classes, i.e. manifolds with ample anti-canonical line bundles.

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If (M, h) is a Kähler manifold. The Riemannian curvature tensor $R_{\alpha\bar{\beta}\gamma\bar{\delta}}$ is said to be **strongly positive** if

$$\sum_{\alpha, \beta, \gamma, \delta} R_{\alpha\bar{\beta}\gamma\bar{\delta}} (A^\alpha \bar{B}^\beta - C^\alpha \bar{D}^\beta) (\overline{A^\delta \bar{B}^\gamma - C^\delta \bar{D}^\gamma}) > 0$$

for any nonzero complex matrix $(A^\alpha \bar{B}^\beta - C^\alpha \bar{D}^\beta)$;

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The Riemannian curvature tensor $R_{\alpha\bar{\beta}\gamma\bar{\delta}}$ is said to be **very strongly positive** if

$$\sum_{\alpha, \beta, \gamma, \delta} R_{\alpha\bar{\beta}\gamma\bar{\delta}} u^{\alpha\delta} \bar{u}^{\beta\gamma} > 0$$

for any nonzero complex matrix $(u^{\alpha\beta})$, where

$$R_{\alpha\bar{\beta}\gamma\bar{\delta}} = -\frac{\partial^2 h_{\alpha\bar{\beta}}}{\partial w^\gamma \partial \bar{w}^\delta} + h^{\lambda\bar{\mu}} \frac{\partial h_{\alpha\bar{\mu}}}{\partial w^\gamma} \frac{h_{\lambda\bar{\beta}}}{\partial \bar{w}^\delta}$$

in the local coordinate (w^α) .

Positivity in complex geometry

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Theorem

Let $f : (N, \omega_N) \longrightarrow (M, \omega_M)$ be a *harmonic map* between a compact *hermitian* manifold (N, ω_N) and a Kähler manifold (M, ω_M) . If the hermitian metric satisfies $\partial\bar{\partial}\omega_N = 0$ and the curvature tensor of M is strongly semi-negative and strongly negative at $f(P)$ for some point $P \in N$ with $\text{rank}_{\mathbb{R}} df \geq 4$ at P , then f is holomorphic or anti-holomorphic.

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This is the generalization of Siu's result:

Theorem

Let $f : (N, \omega_N) \longrightarrow (M, \omega_M)$ be a *harmonic map* between compact Kähler manifolds. If the curvature tensor of M is strongly semi-negative and strongly negative at $f(P)$ for some point $P \in N$ with $\text{rank}_{\mathbb{R}} df \geq 4$ at P , then f is holomorphic or anti-holomorphic.

Manifolds with definite first Chern classes

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- ▶ Conversely, if M has definite first Chern class, does M admit Kähler-Einstein metric?
- ▶ This question is equivalent to the following Monge-Ampere equation:

$$\begin{cases} \frac{\det(g_{i\bar{j}} + \varphi_{i\bar{j}})}{\det(g_{i\bar{j}})} = e^{-k\varphi + F}, & (g_{i\bar{j}} + \varphi_{i\bar{j}}) > 0 \\ \int_M e^{-k\varphi + F} dV_g = \text{vol}(M, g) \end{cases} \quad (2.1)$$

Yau's solution of Calabi conjecture

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Theorem (Aubin & Yau)

Let M be any compact Kähler manifold with $c_1(M) < 0$, then there exists a unique K-E metric ω with $\text{Ric}(\omega) = -\omega$.

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Theorem (Calabi-Yau)

Let (M, ω) be a compact Kähler manifold. Given any real closed $(1,1)$ form

$$\tilde{\rho} = \frac{\sqrt{-1}}{2\pi} \tilde{R}_{i\bar{j}} dz^i \wedge d\bar{z}^j$$

which represents $c_1(M) = [\tilde{\rho}]$, then there exists a unique Kähler form $\tilde{\omega} \in [\omega]$ such that the corresponding Ricci form $\text{Ric}(\tilde{\omega}) = \tilde{\rho}$.

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Corollary

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Corollary (Kobayashi-Yau)

*If $c_1(M) > 0$, then M has a Kähler metric with **positive Ricci curvature**, and so is simply connected.*

Definition

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$$\dim_{\mathbb{C}} H^0(M, \operatorname{Hom}_{\mathbb{C}}(E, E)) = 1$$

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- Moreover, if φ is a global constant, then (E, h) is called a **Hermitian-Einstein**. The corresponding Chern connection is called the **Hermitian-Yang-Mills connection**

- (3) A holomorphic vector bundle E over a compact Kähler manifold (M, ω) is called $[\omega]$ -stable (resp. $[\omega]$ -semi-stable) if for any subbundle F of E with $0 < rk(F) < rk(E)$, we have

$$\mu(F) < \mu(E) \quad (\text{resp.} \quad \mu(F) \leq \mu(E))$$

where

$$\mu(E) = \frac{\int_M c_1(E) \wedge \omega^{n-1}}{rk(E)}$$

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- (4) If $E = E_1 \oplus \cdots \oplus E_s$ and E_i are stable and

$$\mu(E_1) = \cdots = \mu(E_s)$$

then E is called **polystable**.

Relations

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- ▶ polystable \implies semi-stable.

Theorem (Lubke-Kobayashi)

(1) If (E, h) is a Hermitian-Einstein vector bundle over a compact Kähler manifold (M, ω) . Then E is polystable, i.e. (E, h) is a direct sum

$$(E, h) = (E_1, h_1) \oplus \cdots \oplus (E_k, h_k)$$

of $[\omega]$ -stable Hermitian-Einstein vector bundles $(E_1, h_1), \dots, (E_s, h_s)$ with

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Theorem (HKDUY Correspondence)

If (E, h) is a hermitian vector bundle over a compact Kähler manifold (M, ω) , then (E, h) is polystable if and only if it admits Hermitian-Einstein metrics (Hermitian-Yang-Mills connections).

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- ▶ We could not use the "stabilities" of the vector bundle for the metrics on E and on TM are different.

Stability in the sense of Gieseker

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Definition

If (M, L) is a polarized manifold, and E is a holomorphic vector bundle over M . E is called **Gieseker stable** (resp. semi-stable) if for every subbundle $F \subset E$ with $0 < rk(F) < rk(E)$, the inequality

$$\frac{\chi(F \otimes L^k)}{rk(F)} < \frac{\chi(E \otimes L^k)}{rk(E)} \quad \text{resp.} \quad \frac{\chi(F \otimes L^k)}{rk(F)} \leq \frac{\chi(E \otimes L^k)}{rk(E)}$$

holds for sufficient large integers k .

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$$\chi(E \otimes L^k) = \int_M ch(E \otimes L^k) \cdot Td(M)$$

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Then

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Stable \implies Gieseker stable \implies Gieseker semistable \implies semistable

GIT quotient

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In general, the quotients are "bad"!

Example of "bad" action

Given an integer N , let

$$V_N = \left\{ \sum_{i+j=N} a_{ij} X^i Y^j \right\} \subset \mathbb{C}[X, Y]$$

and

$$\mathbb{P}(V_N) = V_N / \mathbb{C}^* = \mathbb{P}^N$$

The group $G = SL(2, \mathbb{C})$ acts on V_N by

$$V_N \times G \longrightarrow V_N, \quad (g, P) \longmapsto P^g$$

$$P^g(X, Y) = P \left(g^{-1} \begin{pmatrix} X \\ Y \end{pmatrix} \right)$$

There is a natural induced action

$$\mathbb{P}^N \times G \longrightarrow \mathbb{P}^N$$

The action is so bad that there is no Hausdorff topology on \mathbb{P}^N / G .

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Then for a point $x \in V$:

x unstable	x semi-stable	x stable
$0 \in \overline{O(x)}$	$0 \notin \overline{O(x)}$	$\left\{ \begin{array}{l} (1). \ O(x) \text{ is closed in } V; \\ (2). \ \text{Stabilizer } G_x \text{ is finite} \end{array} \right.$
$\forall f \in k[X_1, \dots, X_n]^G$ with $\deg f \geq 1, f(x) = 0$	$\exists f \in k[X_1, \dots, X_n]^G$ with $\deg f \geq 1,$ such that $f(x) \neq 0$	$\left\{ \begin{array}{l} (1). \dim O(x) = \dim G; \\ (2). \exists f \in k[X_1, \dots, X_n]^G \\ \text{with } \deg f \geq 1, \\ \text{such that } f(x) \neq 0; \\ (3). \text{ the action of } G \\ \text{on } X_f \text{ is closed.} \end{array} \right.$
\exists 1-PS λ of G such that $\mu(x, \lambda) < 0$	\forall 1-PS λ of G such that $\mu(x, \lambda) \geq 0$	\forall 1-PS λ of G such that $\mu(x, \lambda) > 0$

Hilbert Scheme-Moduli of polarized manifolds

Let

$$A = \{(X, L) \mid L \text{ is very ample}\}$$

be the set of the objects. The equivalent relations on A is given by

$$(X, L) \sim (X', L') \iff \exists \text{ isomorphism } \tau : X \longrightarrow X' \text{ such that } \tau^* L' \cong L$$

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If (X, L) is a polarized manifold, then we know the Euler-Poincare characteristic

$$P(k) = \chi(X, L^k)$$

is a polynomial of degree $n (= \dim X)$ in k . Now fix a polynomial $P(T) \in \mathbb{Q}(T)$ with degree n , then we can consider the moduli problem for

$$A_P = \{(X, L) \mid L \text{ is very ample with Hilbert polynomial } P\}$$

and the equivalent relations is the same as A .

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What is A_P / \sim ?

Hilbert Scheme-Moduli of polarized manifolds

- Now we set $\mathbb{P}^N = \mathbb{P}^{P(1)-1}$. Consider the contravariant functor

$$\mathcal{H}ilb_{\mathbb{P}^N}^P : \{ \text{schemes} \} \longrightarrow \{ \text{sets} \}$$

which is given by

$$\mathcal{H}ilb_{\mathbb{P}^N}^P(U) = \left\{ Z \subset \mathbb{P}^N \times U : \begin{array}{ccc} Z & \xrightarrow{i} & \mathbb{P}^N \times U \\ \pi \downarrow & & \downarrow p_2 \\ U & \xrightarrow{=} & U \end{array} \begin{array}{l} Z \text{ is a closed subscheme} \\ \pi \text{ is flat and } Z \text{ with Hilbert polynomial } P. \end{array} \right\}$$

where " with Hilbert polynomial P " means: for $u \in U$, the Hilbert polynomial in u is

$$P(k) = \chi(Z_u, i_{Z_u}^*(\mathcal{O}_{\mathbb{P}^N}(k))), \quad i_{Z_u} : Z_u \hookrightarrow \mathbb{P}^N$$

In fact, we know

$$(Z_u, i_{Z_u}^*(\mathcal{O}_{\mathbb{P}^N}(1))) \in A_P$$

Hilbert Scheme-Moduli of polarized manifolds

The following theorem is proved by Grothendieck with simplifications by Mumford.

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Theorem (Grothendieck)

The functor $\mathcal{H}ilb_{\mathbb{P}^N}^P$ is represented by a projective scheme $(Hilb_{\mathbb{P}^N}^P, \Phi)$ with the universal family $Univ_{\mathbb{P}^N}^P$ over $Hilb_{\mathbb{P}^N}^P$

$$\begin{array}{ccc} Univ_{\mathbb{P}^N}^P & \xrightarrow{\quad \subset \quad} & \mathbb{P}^N \times Hilb_{\mathbb{P}^N}^P \\ \downarrow \pi & & \\ Hilb_{\mathbb{P}^N}^P & & \end{array}$$

i.e.

$$Hilb_{\mathbb{P}^N}^P \cong A_p / \sim$$

Hilbert-Mumford stability of polarized manifolds

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The Kodaira embedding

$$\Phi_k : X \longrightarrow \mathbb{P}^{h(r)-1}$$

gives an exact sequence over $\mathbb{P}^{h(r)-1}$

$$0 \longrightarrow \mathcal{I}_X(k) \longrightarrow \mathcal{O}_{\mathbb{P}^{h(r)-1}}(k) \longrightarrow \Phi_{k*}(\mathcal{O}_X)(k) \longrightarrow 0$$

Hilbert-Mumford stability of polarized manifolds

For large k we get

$$0 \longrightarrow H^0(\mathbb{P}^{h(r)-1}, \mathcal{I}_X(k)) \longrightarrow S^k \mathbb{C}^{h(r)*} \cong S^k(H^0(X, L^r)) \longrightarrow H^0(X, L^{kr}) \longrightarrow 0$$

Hilbert-Mumford stability of polarized manifolds

For large k we get

$$0 \longrightarrow H^0(\mathbb{P}^{h(r)-1}, \mathcal{I}_X(k)) \longrightarrow S^k \mathbb{C}^{h(r)*} \cong S^k(H^0(X, L^r)) \longrightarrow H^0(X, L^{kr}) \longrightarrow 0$$

- The k -th Hilbert point of (X, L^r) is determined in the Grassmannian

$$x_{r,k} \in \mathbb{G} = \text{Grass} \left(h(rk), \binom{h(r) + k - 1}{k} \right) \hookrightarrow \mathbb{P}^{\binom{h(r) + k - 1}{h(rk)} - 1}$$

We know that $SL(h(r), \mathbb{C})$ acts linearly on $\mathbb{P}^{h(r)-1} = \mathbb{C}^{h(r)} / \mathbb{C}^*$ and so on \mathbb{G} .

Hilbert-Mumford stability of polarized manifolds

For large k we get

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We can define the Hilbert-Mumford stability of (X, L) by the Hilbert point $x_{r,k}$.

Chow-Mumford stability of polarized manifolds

Now we define a set of objects for the given positive integers N, n, d

$$A_{N,n,d} = \{X \subset \mathbb{P}^N \mid \dim_{\mathbb{C}} X = n, \deg(X) = d\}$$

and the equivalence relations are defined by

$$X \sim X' \iff \exists g \in SL(N+1, \mathbb{C}), g^*(X) = X'$$

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Then we can define the Chow functor

$$\text{Chow}_{N,n,d} : \{ \text{varieties} \} \longrightarrow \{ \text{sets} \}$$

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Theorem

The functor $\mathcal{C}how_{N,n,d}$ is represented by a variety $\mathcal{C}how_{N,n,d}$.

Chow-Mumford stability of polarized manifolds

We have

$$\begin{array}{ccccc}
 Univ_{N,n,d} & \xrightarrow{i} & \mathbb{P}^N \times Chow_{N,n,d} & \xrightarrow{\pi_2} & \mathbb{P}^N \\
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The algebraic group $G = SL(N+1, \mathbb{C})$ acts on \mathbb{P}^N naturally, and consequently will act on $Chow_{N,n,d}$ and $Univ_{N,n,d}$ equivariantly. Let ν_0 be a large positive constant, then there is a very ample line bundle

$$\mathcal{L} = \det(\pi_* i^* (\pi_2^* (\mathcal{O}_{\mathbb{P}^N}(\nu))))), \quad \nu \geq \nu_0$$

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For we have

$$Chow_{N,n,d} = A_{N,n,d} / \sim$$

Then if $X \in A_{N,n,d}$, we denote the equivalent class $[X] \in Chow_{N,n,d}$ by $Chow(X)$ called the **Chow point** of X .

Relations of stabilities

Chow-Mumford stable \implies Hilbert Mumford stable \implies
Hilbert-Mumford semistable \implies Chow-Mumford semistable.

$$w(k) = Cek^{n+1} + O(k^n), \quad C > 0$$

Asymptotic expansion of Bergman functions

If (L, h) is a positive line bundle over a compact Kähler manifold (X, ω) with $\omega = \sqrt{-1}\Theta^L$. Let $\Gamma(X, L^k)$ be the set of smooth sections. Then there is a natural inner product

$$(s_1, s_2) = \int_X \langle s_1(z), s_2(z) \rangle_h dV_z$$

for any $s_1, s_2 \in \Gamma(X, L^k)$ where

$$dV = \frac{\omega^n}{n!}$$

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Then there is a natural orthogonal projection

$$P_k : \mathcal{L}^2(X, L^k) \longrightarrow H^0(X, L^k)$$

The Bergman kernel $P_k(z, z')$ is the smooth kernel of the projection

$$(P_k S)(z) = \int_X P_k(z, z') S(z') dV_{z'}$$

for any $S \in \mathcal{L}^2(X, L^k)$.

Asymptotic expansion of Bergman functions

We denote by $B_k(z) = P_k(z, z)$ the restriction on the diagonal. It is obvious that $B_k(z) \in \text{End}(L^k)_z$. So we can regard B_k as a smooth function on X .

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Let s_0, \dots, s_{N_k} be the orthonormal basis of $H^0(X, L^k)$ with respect to the \mathcal{L}^2 metric, i.e.

$$(s_\alpha, s_\beta)_{\mathcal{L}^2} = \int_X \langle s_\alpha(z), s_\beta(z) \rangle_h dV_z = \delta_{\alpha\beta}$$

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Theorem

We have the relations

$$B_k(z) = \sum_{\alpha=0}^{N_k} |s_\alpha|_h^2(z)$$

where $|\clubsuit|_h$ is the fiberwise norm with respect to the metric h . Moreover, it is independent on the choice of the base, and intrinsically we have

$$B_k(z) = \sup\{|\varphi|_h^2(z) \mid \|\varphi\|_{\mathcal{L}^2} = 1\}$$

Asymptotic expansion of Bergman functions

Asymptotic expansion of Bergman functions

Theorem

If (L, h) is a positive line bundle over a compact Kähler manifold (X, ω) and $\omega = \sqrt{-1}\Theta_h^L$. The *orthonormal basis* $s_0, \dots, s_{N_k} \in H^0(X, L^k)$ with respect to the \mathcal{L}^2 inner product

$$(s_i, s_j) = \int_X \langle s_i(x), s_j(x) \rangle_h dV$$

gives the Kodaira embedding

$$\Phi_k : X \longrightarrow \mathbb{P}(H^0(M, L^k)^*) \cong \mathbb{P}^{N_k}, \quad x \longrightarrow [s_0(x), \dots, s_{N_k}(x)]$$

satisfies

$$\frac{1}{k} \Phi_k^*(\omega_{FS}) - \omega = \frac{\sqrt{-1}}{k} \partial \bar{\partial} \log B_k$$

Asymptotic expansion of Bergman functions

Asymptotic expansion of Bergman functions

Corollary

$$\dim_{\mathbb{C}} H^0(X, L^k) = \int_X B_k(\omega) dV_{\omega} = a_0 k^n + a_1 k^{n-1} + a_2 k^{n-2} + \cdots + a_n$$

where

$$a_0 = \text{Vol}(X, \omega), a_1 = \frac{1}{2} \int_X s_{\omega} dV_{\omega}$$

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Theorem

$$\frac{B_k(\omega, z)}{\text{Vol}(X, \omega)} \sim A_0(z) k^n + A_1(z) k^{n-1} + \cdots$$

where

$$A_0 = 1, A_1 = \frac{s_{\omega}}{2}$$

$$\|B_k(z) - \sum_{i=0}^N A_i(z) k^{n-i}\|_{C^r(X)} \leq K_{r,N} k^{n-N-1}$$

Balanced polarization

If L is an ample vector bundle over a compact complex manifold X . Let $B = \{s_0, s_1, \dots, s_{N_k}\}$ be a basis of $V_k = H^0(X, L^k)$ such that it gives an embedding

$$\Phi_k : X \longrightarrow \mathbb{P}(V^*), \quad x \longrightarrow [s_0(x), \dots, s_{N_k}(x)]$$

We choose the local frame e_L of L , then

$$s_\alpha(x) = f_\alpha(x) e_L^{\otimes k}$$

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We choose the local frame e_L of L , then

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There is a $(N_k + 1) \times (N_k + 1)$ matrix

$$B_{\alpha\beta}(X) = \int_X \frac{f_\alpha \bar{f}_\beta}{\sum |f_\alpha|^2} dV$$

where

$$dV = \frac{(\Phi_k^*(\omega_{FS}))^n}{n! k^n}$$

Balanced polarization

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Definition

The pair (X, L, B) is said to be **balanced** in \mathbb{P}^{N_k} if

$$B(X) = \sigma \cdot I_{(N_k+1) \times (N_k+1)}$$

We also say that (X, L) can be balanced and $B = \{s_0, s_1, \dots, s_N\}$ is a **balanced basis**.

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It is obvious that

$$\sigma = \frac{\text{Vol}(X)}{N_k + 1}$$

If G is hermitian metric on the vector space $V_k = H^0(X, L^k)$, then we can choose an orthonormal basis $B = \{s_0, s_1, \dots, s_{N_k}\}$ of V_k with respect to the metric G such that it gives the embedding

$$\Phi_k : X \longrightarrow \mathbb{P}(V^*), \quad x \longrightarrow [s_0(x), \dots, s_{N_k}(x)]$$

Then there is an induced metric on L^k given by

$$FS(G) = \frac{1}{\sum G^{\alpha\beta} f_\alpha \bar{f}_\beta}$$

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A hermitian metric G on $H^0(X, L^k)$ is called a **balanced metric** if the \mathcal{L}^2 metric on $H^0(X, L^k)$ induced by metric $FS(G)$ coincides with G , i.e.

$$(s_1, s_2)_G = \int_X \langle s_1(z), s_2(z) \rangle_{FS(G)} dV_z$$

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Theorem

If G is a balanced metric on $H^0(X, L^k)$ if

$$G_{\alpha\beta} = \frac{N_k + 1}{\text{Vol}(X)} \int_X \frac{f_\alpha \bar{f}_\beta}{\sum_\gamma G^{\gamma\delta} f_\gamma \bar{f}_\delta} dV$$

with respect to the local basis $s_\alpha = f^\alpha e_L^{\otimes k}$

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 - (1) There exists balanced metric on $H^0(X, L^k)$;
 - (2) (X, L^k) can be balanced;
- ▶ Under the balance condition, the Bergman kernel function is constant

$$B_k \equiv \frac{\dim_{\mathbb{C}} H^0(X, L^k)}{\text{Vol}(X)}$$

Constant scalar curvature and balance

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Theorem (Donaldson)

If (X, L^k) is balanced for every large k and ω_k is the balanced metric, and if ω_k converges to some limit ω_∞ in C^∞ as $k \rightarrow \infty$, then ω_∞ has constant scalar curvature.

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Asymptotic Riemann-Roch expansion

$$B_k(\omega_k) = \frac{\dim_{\mathbb{C}} H^0(M, L^k)}{\text{Vol}(M, \omega_k)} = k^n + \frac{k^{n-1}}{2\text{Vol}(M, \omega_k)} \int_X \rho_{\omega_k} dV_k + O(k^{n-2}) \quad (*)$$

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Asymptotic expansion for the Bergman kernel

$$B_k(\omega_k) = k^n + \frac{1}{2} \rho_{\omega_k} k^{n-1} + \dots$$

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$$\implies \rho_\infty = \frac{1}{\text{Vol}(M, \omega_\infty)} \int_X \rho_{\omega_\infty} dV_\infty$$

Constant scalar curvature and balance

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Theorem (Donaldson)

Suppose $\text{Aut}(X, L)$ is discrete and ω_∞ is a Kähler metric in the class $2\pi c_1(L)$ with constant scalar curvature. Then (X, L^k) is balanced for large enough k and the sequence of the balanced metrics ω_k converges in C^∞ to ω_∞ as $k \rightarrow \infty$.

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Corollary

Suppose $\text{Aut}(X, L)$ is discrete. Then there is at most one Kähler metric of constant scalar curvature in the class $2\pi c_1(L)$.

Constant scalar curvature and stability

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Theorem (Zhang- Luo-Donaldson)

existence of cscK + discrete automorphism \implies Balance

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Balance \iff Chow-Mumford stability \implies Hilbert-Mumford stability.